



# PARAGON INVESTMENTS MANAGEMENT LIMITED (RC 400237)

[SEC APPROVED]

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## INFORMATION AND DATA OF PROSPECTIVE INVESTORS

**NOTE:** Information supplied by prospective investors concerning his/her/their investments shall be relied on and used for the purpose of administration and management of his/her/their investments with the company.

Affix  
One  
Passport  
Photograph

Please write clearly and initial all or any alterations and erasures.  
Kindly answer all questions fully.

1. Name of Investor [Surname first]:.....
2. Maiden Name [if married woman]:.....
3. Marital Status:.....
4. Residential Address:.....  
..... Tel:.....
5. Office Address:.....  
..... Tel:.....
6. Postal Address:.....
7. E-mail Address:.....
8. Occupation:.....
9. If a company- Name, RC No. And Address:.....  
.....  
Tel:..... Fax:..... E-mail:.....
10. In case of permanent disability, death or liquidation, proceeds of my/our investments should be given to or administered by the following person [s]:
  - [a] Name:.....  
Address:.....
  - [b] Name:.....  
Address:.....
  - [c] Name:.....  
Address:.....

### How did you get to know about us?

(i) References:

State the name of the person.....

(ii) Advertisement: (Tick as appropriate)

- |  |                                    |                                   |
|--|------------------------------------|-----------------------------------|
| <input type="checkbox"/> TV                    | <input type="checkbox"/> Radio     | <input type="checkbox"/> Internet |
| <input type="checkbox"/> Bill board            | <input type="checkbox"/> Newspaper | <input type="checkbox"/> Handbill |
| <input type="checkbox"/> Others (specify)..... |                                    |                                   |

**NOTE THAT:**

- i. In addition to statutory and broker's charges, Paragon Investments Management Limited will charge commission of .....of the appreciated element of clients' investments per annum.
- ii. Stock dealing is a long-term investment. It has the characteristic of fluctuating in price. The fact that a stock has appreciated in value in one year does not guarantee the continued appreciation in subsequent years. However, the company shall try to protect its clients' investments to the best of its ability.
- iii. The company reserves the right to buy and sell clients' stocks at the company's discretion with a view to protecting clients' investments with the company.

**Additional requirements for registration:**

- a. A copy of driver's licence or international passport or national identity card.
- b. A copy of a recent public utility bill (e.g electricity bill, water bill)
- c. If body corporate, a copy of the certificate of incorporation

**DECLARATION**

I/We the undersigned declare that to the best of my/our knowledge, the foregoing statements/ facts are true and correct and it should be used by the company for the purpose of the management and administration of my/our funds/investments with the company.

Investor's Signature.....Date.....

**Witnessed by:**

Name:.....

Address:.....

Signature:.....

Date:.....